**Restricted Party Screenings**

Providing services, financial assistance and engaging in trade activities with certain individuals, companies and organizations is prohibited under federal regulations. These prohibitions apply regardless of whether or not it is fundamental research, presentations at conferences, providing services or international travel.

**Unauthorized interactions with a Restricted Party are considered an export violation.**

While there are many lists enforced by multiple state and federal agencies, the Government requires that seven of them be checked regularly. Below is a summary of these major lists.

|  |  |
| --- | --- |
| Department of Commerce (BIS) |  |
| [Denied Persons List](https://www.bis.doc.gov/index.php/policy-guidance/lists-of-parties-of-concern/denied-persons-list): | Parties on this list are not allowed to receive or participate in the export of commodities, software or technology that is subject to the [Export Administration Regulations (EAR)](https://www.bis.doc.gov/index.php/regulations/export-administration-regulations-ear). |
|  |  |
| [Entity List:](https://www.bis.doc.gov/index.php/documents/regulations-docs/2347-744-supp-4-10-30-18/file)(Supplement No. 4 to Part 744 of the EAR) | This list includes foreign persons (individuals, research institutions, companies, governments, private organizations) that require additional licensure prior to the export, reexport and/or transfer (in-country) of specific items. |
|  |  |
| [Unverified List (UVL)](https://www.bis.doc.gov/index.php/documents/regulations-docs/2343-744-1/file):(Supplement No. 6 to Part 744 of the EAR) | In addition to other restrictions within the EAR, the use of a license exception is prohibited when exporting items subject to the EAR to parties on this list. |

|  |  |
| --- | --- |
| Department of State (DDTC) |  |
| [Arms Export Control Act (AECA) Debarred Parties](https://www.pmddtc.state.gov/?id=ddtc_kb_article_page&sys_id=c22d1833dbb8d300d0a370131f9619f0) | There are two lists – the Statutory Debarment List, which includes parties convicted or violating or conspiring to violate the AECA, and the Administrative Debarment List. Parties on these lists are not allowed to receive or participate in the export of defense articles or defense services that are subject to the [International Traffic in Arms Regulations (ITAR)](https://www.pmddtc.state.gov/?id=ddtc_kb_article_page&sys_id=24d528fddbfc930044f9ff621f961987). |
|  |  |
| [Nonproliferation Sanctions](https://www.state.gov/t/isn/c15231.htm) | Individuals, entities and governments that engage in proliferation activities are included on this list. |

|  |
| --- |
| Department of Treasury, Office of Foreign Assets Control (OFAC) |
| [Specially Designated Nationals (SDN) and Blocked Persons List](https://www.treasury.gov/resource-center/sanctions/SDN-List/Pages/default.aspx): | OFAC publishes this list of individuals and companies owned, controlled by, or acting for or on behalf of, targeted countries. Also included are individuals, groups, and entities such as terrorists and narcotics traffickers designated under programs that are not country specific. There is general presumption of denial for permission to engage with parties on this list. |
|  |  |
| [Foreign Sanctions Evaders (FSE) List:](https://www.treasury.gov/resource-center/sanctions/sdn-list/pages/fse_list.aspx) | This list includes foreign individuals and entities determined to have violated, attempted to violate, conspired to violate or caused a violation of U.S. sanctions on Syria or Iran pursuant to EO 13608. |

To help mitigate the risk of an inadvertent violation, WSU uses Amber Road software to conduct restricted party screenings against these lists. Researchers and staff are encouraged to contact the Office of Research Compliance in order to obtain access to this software.